

Message Text

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S E C R E T STATE 080363 TOSEC 030040

SENSATIVE - PASS BOB PASTOR MIRA FLORES PALACE

E.O. 11652: GDS

TAGS:

SUBJECT: PRESIDENTIAL DIRECTIVE ON ARMS TRANSFERS

PASS IMMEDIATELY TO BOB PASTOR AT MIRA FLORES PALACE

1. FOLLOWING IS TEXT OF PD AS REQUESTED IN TELECOM
FROM DICK KEYS TO STATE OPS. CENTER.

2. CONVENTIONAL ARMS TRANSFER POLICY:

AFTER REVIEWING RESULTS OF THE POLICY REVIEW COMMITTEE
MEETING HELD ON APRIL 12, 1977, TO DISCUSS US CONVENTIONAL
ARMS TRANSFER POLICY, I HAVE CONCLUDED THAT WE MUST
RESTRAIN THE TRANSFER OF COVENTIONAL ARMS BY RECOGNIZING
THAT ARMS TRANSFERS ARE AN EXCEPTIONAL FOREIGN POLICY
IMPLEMENT, TO BE USED ONLY IN INSTANCES WHERE IT CAN BE
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CLEARLY DEMONSTRATED THAT THE TRANSFERS CONTRIBUTE TO OUR
NATIONAL SECURITY INTERESTS.

IN ESTABLISHING THIS POLICY OF RESTRAINT, THE UNITED STATES
WILL CONTINUE TO UTILIZE ARMS TRANSFERS TO PROMOTE OUR
SECURITY AND THE SECURITY OF OUR ALLIES AND CLOSE FRIENDS.
RECOGNIZING THAT UNILATERAL RESTRAINT CAN HAVE ONLY LIMITED

EFFECTIVENESS WITHOUT MULTILATERAL COOPERATION, THE UNITED STATES WILL CONTINUE ITS EFFORTS TO URGE OTHER SUPPLIERS

TO JOIN US IN PURSUING POLICIES OF RESTRAINT. IN ADDITION WE BELIEVE THAT REGIONAL AGREEMENTS AMONG PURCHASERS OF ARMS CAN CONTRIBUTE SIGNIFICANTLY TO CURBING THE PROLIF- ERATION OF CONVENTIONAL WEAPONRY, AND WE WILL ASSIST IN WHATEVER WAY POSSIBLE IN THE CONCLUSION OF SUCH AGREEMENTS.

THE UNITED STATES WILL GIVE CONTINUED EMPHASIS TO FORMULAT ING AND CONDUCTING OUR SECURITY ASSISTANCE PROGRAMS IN A MANNER WHICH WILL PROMOTE AND ADVANCE RESPECT FOR HUMAN RIGHTS IN RECIPIENT COUNTRIES.

FURTHER AN ASSESSMENT WILL HENCEFORTH BE MADE OF THE ECONOMIC IMPACT OF PROPOSED TRANSFERS OF MAJOR DEFENSE EQUIPMENT TO THOSE LESS DEVELOPED COUNTRIES WHICH RECEIVE US ECONOMIC ASSISTANCE.

IN RECOGNITION OF OUR SPECIAL TREATY OBLIGATIONS WITH NATO COUNTRIES, JAPAN, AUSTRALIA AND NEW ZEALAND, THESE COUNTRIES WILL BE EXEMPTED AS APPROPRIATE FROM THE DOLLAR VALUE RESTRAINTS AND OTHER CONTROLS ESTABLISHED BELOW. THE UNITED STATES WILL REMAIN FAITHFUL TO ITS TREATY OBLIGATIONS, AND WILL ALSO HONOR ITS HISTORIC SECRET

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RESPONSIBILITIES REGARDING ISRAEL'S SECURITY.

IN FURTHERANCE OF THIS POLICY RESTRAINT, AND EXCEPT IN EXTRAORDINARY CIRCUMSTANCES PERSONALLY APPROVED BY ME OR WHERE I DETERMINE THAT COUNTRIES FRIENDLY TO THE UNITED STATES MUST DEPEND ON ADVANCED WEAPONRY TO OFFSET QUANTITATIVE AND OTHER DISADVANTAGES IN ORDER TO MAINTAIN A REGIONAL BALANCE, I DIRECT THAT:

„ THE DOLLAR VOLUME (IN CONSTANT 1976 DOLLARS) OF NEW COMMITMENTS UNDER THE FOREIGN MILITARY SALES AND MILITARY ASSISTANCE PROGRAMS FOR WEAPONS AND WEAPONS- RELATED ITEMS IN FY1978 WILL BE REDUCED FROM THE FY1977 TOTAL. IT WILL BE OUR GOAL TO CONTINUE TO REDUCE TOTAL DOLLAR VOLUME IN EACH SUBSEQUENT YEAR.

2. WITH REGARD TO NEWLY-DEVELOPED ADVANCED WEAPONS SYSTEMS:

A. THE UNITED STATES WILL NOT BE THE FIRST SUPPLIER TO INTRODUCE INTO A REGION AN ADVANCED WEAPONS SYSTEM WHICH CREATES A NEW OR SIGNIFICANTLY HIGHER COMBAT

CAPABILITY.

B. COMMITMENT FOR SALE OR COPRODUCTION OF NEWLY DEVELOPED ADVANCED WEAPONS SYSTEMS IS PROHIBITED UNTIL THE SYSTEMS ARE OPERATIONALLY DEPLOYED WITH US FORCES.

C. THE SECRETARY OF STATE WILL ESTABLISH MORE EXTENSIVE GUIDELINES FOR ASSESSING REQUESTS FOR NEWLY DEVELOPED WEAPONS SYSTEMS, INCLUDING REQUIREMENTS 1) THAT SUPPLY THE SYSTEM WOULD UNIQUELY STRENGTHEN THE REQUESTOR'S ABILITY TO PERFORM MILITARY FUNCTIONS WHICH SERVE US SECURITY INTERESTS, 2) THAT LESS ADVANCED, EXISTING SYSTEMS WITH ROUGHLY COMPARABLE CAPABILITIES ARE UNAVAILABLE FROM THE UNITED STATES, AND 3) THAT PRO-SECRET

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VIDING THESE SYSTEMS WILL NOT REQUIRE THE PRESENCE IN COUNTRY OF LARGE NUMBERS OF AMERICANS FOR LONG PERIODS OF TIME.

3. UNIQUE ADVANCED WEAPONS SYSTEMS DEVELOPED OR SIGNIFICANTLY MODIFIED SOLELY FOR EXPORT WILL BE TRANSFERRED ONLY WITHIN THE TREATY RELATIONSHIPS REFERRED TO ABOVE.

4. AGREEMENTS FOR COPRODUCTION OF SIGNIFICANT WEAPONS, EQUIPMENT, OR MAJOR COMPONENTS, BEYOND ASSEMBLY OF SUBCOMPONENTS AND THE FABRICATION OF HIGH-TURNOVER SPARE PARTS ARE PROHIBITED. REQUESTS FOR ANY OTHER ITEM (E.G., MAJOR OVERHAUL FACILITIES) WILL BE SUBJECT TO GUIDELINES APPLIED GLOBALLY, ANALYZING CLOSELY WHETHER THE PROPOSED COPRODUCTION PROJECT WOULD OVER TIME PROVIDE EQUIPMENT IN EXCESS OF LOCAL NEEDS. IN EACH APPROVED AGREEMENT, TERMS UNDER WHICH THIRD-COUNTRY EXPORTS WILL BE PERMITTED, IF AT ALL, WILL BE STIPULATED, EMPHASIZING THAT COPRODUCTION IS INTENDED FOR THE COPRODUCER'S REQUIREMENTS AND NOT FOR EXPORT.

5. IN ADDITION TO THE REQUIREMENTS OF LAW AND EXISTING POLICIES CONCERNING RE-TRANSFER ASSURANCES, THE US, AS A CONDITION OF SALE FOR CERTAIN WEAPONS, EQUIPMENT, OR MAJOR COMPONENTS, MAY STIPULATE THAT THE US WILL NOT ENTERTAIN ANY REQUESTS FOR RE-TRANSFERS.

6. POLICY LEVEL APPROVAL BY THE DEPARTMENT OF STATE WILL BE REQUIRED BEFORE AUTHORIZING 1) LICENSING FOR SALES PROMOTION OR TECHNICAL DATA TRANSMISSION BY PRIVATE FIRMS, AND 2) US MILITARY OR CIVILIAN BRIEFINGS, SITE SURVEYS, TRANSMISSIONS OF TECHNICAL INFORMATION, OR ANY SIMILAR ACTIVITY WHICH MIGHT PROMOTE THE SALE OF ITEMS
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OF MAJOR DEFENSE EQUIPMENT. FURTHER, US EMBASSIES AND
MILITARY ELEMENTS WILL NOT PROMOTE OR ASSIST IN THE
PROMOTION OF ARMS SALES WITHOUT SPECIFIC AUTHORIZATION.
FINALLY, THE SECRETARY OF DEFENSE WILL CONTINUE THE
REVIEW OF GOVERNMENT PROCEDURES WHICH MAY PROMOTE THE
SALE OF ARMS, REPORTING THE RESULTS OF THIS REVIEW WITHIN
60 DAYS

(INITIALED J.C.)

COOPER

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